

# **Deliverable DA2.2.22**

## **Offloading Traffic from Cellular Networks with PBRM**

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## Executive summary / Internal release

Title: Offloading Traffic from Cellular Networks with PBRM

**This document describes how Policy-Based Resource Management can be exploited in WLAN offload that is currently hot topic for the operators all around the world.**

Content: The WLAN offload has become a hot topic among mobile industry. Unforeseen traffic growth in cellular networks has made the operators to look for all the possible solutions to increase the capacity of their networks. This document concentrates on WLAN offloading and how it can be enabled efficiently with PBRM. Also, a special WLAN deployment – operator-controlled home WLAN access – is taken into a closer look: the required routing and mobility solutions or possible modifications to the existing systems are discussed.

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## Abbreviations and Terminology

AAA	Authentication, Authorization & Accounting
ACS	Auto Configuration Server
ADSL	Asynchronous Digital Subscriber Line
AKA	Authentication and Key Agreement
ALR	Application Level Roaming
AP	Access Point
APN	Access Point Name
BRAS	Broadband Remote Access Server
CoA	Care of Address
CPE	Customer Premises Equipment
DHCP	Dynamic Host Configuration Protocol
DNS	Domain Name Server
DSL	Digital Subscriber Line
DSLAM	Digital Subscriber Line Access Multiplexer
DSMIPv6	Dual Stack Mobile IPv6
EAP	Extensible Authentication Protocol
EPC	Evolved Packet Core
EPS	Encapsulated Security Payload
ePDG	Evolved Packet Data Gateway
FQDN	Fully Qualified Domain Name
GSM	Global System for Mobile communications
HA	Home Agent
HoA	Home Address
HTTP	Hypertext Transfer Protocol
IETF	Internet Engineering Task Force
IFOM	IP Flow Mobility and seamless WLAN
IKE	Internet Key Exchange
IMS	IP Multimedia Subsystem
IP	Internet Protocol
IPMS	IP Mobility Mode Selection
IPSec	Internet Protocol Security
LTE	Long Term Evolution
MIP	Mobile IP
NSN	Nokia Siemens Networks
NSP	Network Service Provider
PBRM	Policy Based Resource Management
PDN GW	Packet Data Network Gateway
PMIP	Proxy Mobile IP
PPPoE	Point-to-Point Protocol over Ethernet
PSK	Pre-Shared Keys
QoS	Quality of Service
RA	Router Advertisement
RAT	Radio Access Technology
RTP	Real-time Transport Protocol
SIM	Subscriber Identification Module
SSID	Service Set Identifier
UE	User Equipment
WCDMA	Wideband Code Division Multiple Access
WiMAX	Worldwide Interoperability for Microwave Access



WLAN      Wireless LAN  
WPA      Wi-Fi Protected Access

## 1 Introduction

This document is deliverable DA2.2.22 for activity 2.2 Task 7 of Future Internet program of TIVIT. This document covers the continuation of work for Policy-Based Resource Management (PBRM) within Task 7 during 1H2010. Earlier work related to PBRM can be found from other Future Internet deliverables [1], [2], [3], [4] and [5].

The WLAN offload has become a hot topic among mobile industry. Unforeseen traffic growth in cellular networks has made the operators to look for all the possible solutions to increase the capacity of their networks. This document concentrates on WLAN offloading and how it can be enabled efficiently with PBRM. Also, a special WLAN deployment – operator-controlled home WLAN access – is taken into a closer look: the required routing and mobility solutions or possible modifications to the existing systems are discussed.

The document is mainly written from operator point of view, i.e. how the operator could benefit from using PBRM in WLAN offloading. When a reference to real-life network deployments is needed, it is assumed that the operator is running 3GPP cellular network with Evolved Packet Core (EPC) core network.

As was described in the previous PBRM deliverable [5], PBRM concept can be realized in 3GPP networks with Access Network Discovery and Selection Function (ANDSF). This document is written on a general level, i.e. the discussions are written from a generalized PBRM framework point of view. Of course, the mechanisms are fully applicable also for ANDSF as such.

When referring to IP protocol, this document does not concentrate on the differences between IPv4 and IPv6. Instead, it is considered that the high-level functionality of both versions can be generalized for the scope of this document.

The document is structured as follows: chapter 2 discusses about the latest trends of traffic growth in cellular networks. Chapter 3 concentrates on WLAN offloading and what kind of WLAN network deployments can be used for that. Chapter 4 discusses PBRM role in WLAN offload. On chapter 5, routing and mobility on the special operator-controlled home WLAN access is discussed. In chapter 6, an alternative mechanism to PBRM for influencing UE's traffic offloading decisions is shortly described. Finally, chapter 7 concludes the document.

## 2 Traffic Growth

New smartphones with plethora of appealing downloadable applications and laptops with mobile broadband connectivity have changed the usage of mobile data networks in a reasonably short time. The possibilities of new, fancy devices and applications have attracted an increasing number of subscribers to use mobile data services. At the same time, the amount of transferred data per user has also experienced multifold growth.

One could think operators are now happy: the 3G data networks have finally fulfilled their promise, there are more subscribers and transferred bits to charge, and no change in this development is seen. However, operators are never happy; the increase of traffic growth has

exceeded the operator original estimated figures and now the network capacity starts to be used up.

## **2.1 Traffic Management**

As such, the traffic management is a complex field. Different kinds of tools are needed for different parts of the networks: for example, radio interface and core network resources cannot be managed with the same mechanisms. In order to be successful in managing its network efficiently and taking most out of the existing resources, the operator needs to find an optimized combination on usage of all the available traffic management means, both technical and non-technical.

From the operator point of view, there are several ways how the network congestion can be managed: pricing can be used to affect on the network usage, some traffic can be blocked, certain traffic type only gets that much capacity, etc. While all these can be efficient mechanism to influence the traffic incurred, they may make customers unhappy. Thus the operator needs to be cautious with these mechanisms.

Maybe the most straightforward way, albeit an expensive and inefficient one, to be prepared for increasing traffic volumes is over dimensioning: more hardware is purchased and installed to cope with the anticipated traffic volumes. However, tendency is that no matter how much capacity there is it will eventually be used by new applications. Further, adding new hardware is a slow process: it cannot be used to manage dynamic changes of the traffic volumes.

One of the latest emerging topics in the area of traffic management is offloading: instead of putting all the traffic through the same radio access and core network, part of the traffic is routed via an alternative radio access, and possibly also the cellular core network is bypassed when accessing Internet services. From traffic management means, this document concentrates on traffic offloading. Before going to the details of offloading, some latest trends on cellular networks' traffic are first discussed.

## **2.2 Traffic Types**

Traditionally, it has been the operators who decide what services to create and offer to the subscribers. For example, voice services have successfully been delivered to the customers over one hundred years now by following this model. When service is provided and controlled by an operator, it is relatively easy to predict the required capacity in various parts of the operator network.

However, all this has changed with the Internet: new services used also in cellular networks are mainly emerging in the Internet. What this means for the operators is that they have lost their traditional control over the service creation. This brings new challenges for the operators: it is very difficult to predict what kind of service will be the most used application tomorrow, and what kind of traffic that application creates on the operator's network.

## 2.2.1 Control and User Traffic

Generally, all traffic in communication networks is split into control and user traffic. Control traffic is used to control and manage all kinds of network resources (connections, routing, etc.), while user traffic carries the actual user data.

The absolute amount of transferred user traffic has increased dramatically, up to five times per year during couple of last years for some operators. The applications that inherently create a lot of traffic – video streaming (YouTube, etc), peer to peer applications – contribute to the total traffic volumes a lot, but they are not the only applications causing the mobile data growth.

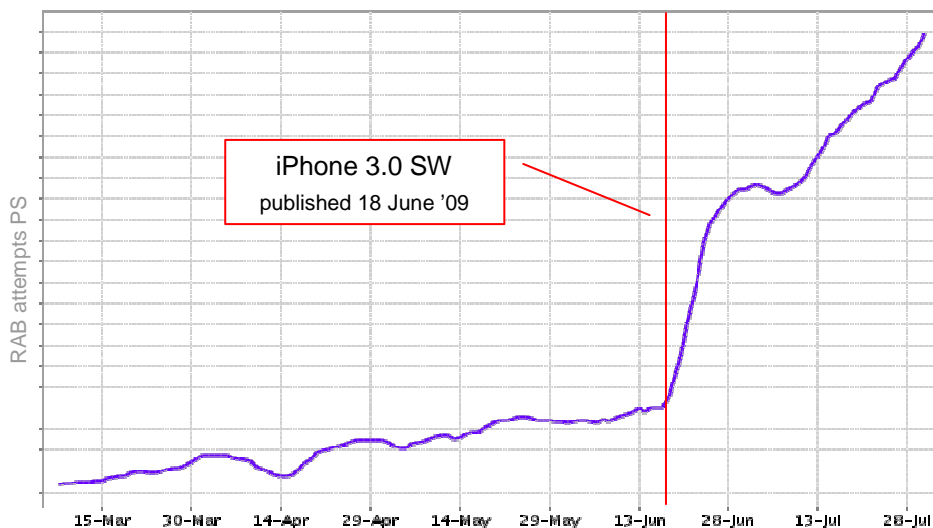
Traditionally, control traffic has had a close link to the number of subscribers: the amount of control traffic increased proportionally to the number of subscribers. With the new smartphones and applications, also this has changed: there are currently many applications (e.g. presence, Facebook, etc.) that update their status very frequently or send keep-alive messages to a server. What is characteristic to these kinds of status updates is that the actual amount of transferred data is very small. In cellular networks, to send some presence update or keep-alive message to the network from UE, a user data connection needs to be setup. With frequent user data connection setups and closings, a lot of extra signaling – i.e. control data – is required. In extreme cases, these connection setups and closings may hog all the network capacity from other users and applications, making the network usage very inefficient in terms of transferred amount of user data.

As a consequence, the operators today have to have means to manage and control both control traffic and user traffic efficiently.

## 2.2.2 Dynamicity

Before the introduction of various application stores for different smartphone platforms, the pace of new application launches was relatively slow. Today, when a new killer application is introduced in an application store, it may be downloaded to millions of smartphones in a day. Already downloading the application may create excessive traffic, and the usage of millions of applications simultaneously can overload an operator's network. When considering laptop users of mobile broadband, the application creating most traffic may have been peer to peer application a few months ago, but today it can be something else, e.g. video streaming. Further, the most used applications can vary between different operators.

So currently it seems that the applications that create most traffic – either control or user traffic – can change very quickly: at most, the situation changes over night. As an example, in **Figure 1** it is shown how iPhone software update multiplied control traffic in an operator network in just few days.



**Figure 1. Data connection request signalling in an operator network.**

So how can the operators be prepared for situations like this and have proper traffic management tools when they are needed? The traditional way of getting new features deployed into operator networks has become too slow: first, operator requests for a new feature from equipment vendor, vendor designs and implements the feature, and after a year or so the feature is delivered and deployed. During the deployment, the feature is in worst case completely outdated.

Something more flexible is needed. Instead of designing some specific feature, equipment vendors need to create a more generic tool box that provides as versatile features as possible. From this tool box, the operators can pick the most suitable mechanisms for a given situation. Ideally, when the situation changes in the operator network, the operator can re-configure the used mechanisms and traffic management means so that it is possible to change the network behavior to match the current need, which may be completely different today than it was yesterday.

Also traffic offloading can be regarded as one of the tools in this traffic management tool box.

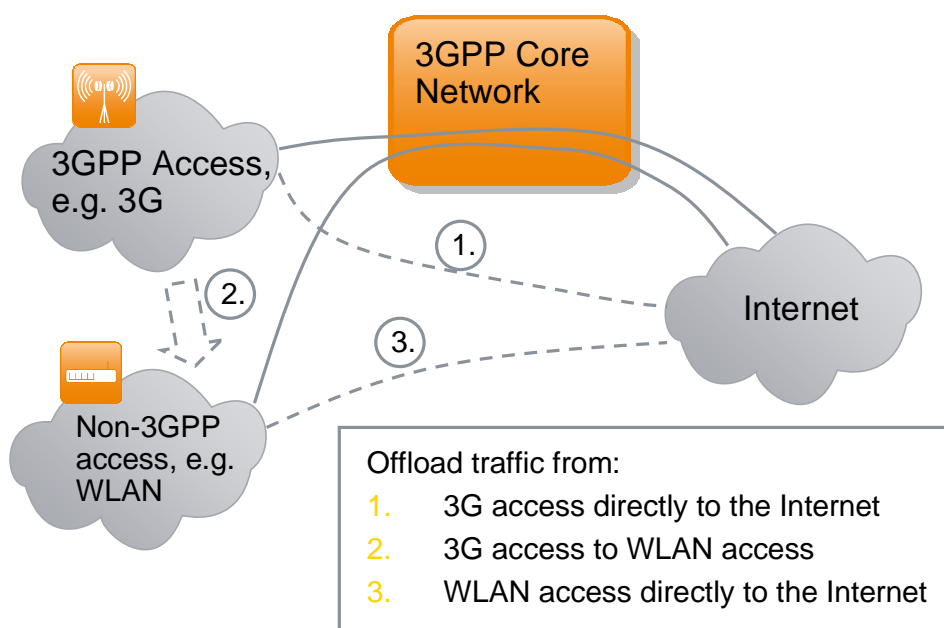
## 2.3 Offloading Traffic

The main idea behind the traffic offloading is to diminish congestion at certain part of the network by routing some traffic via another network, or by bypassing certain part of the network. In general, traffic offloading in cellular networks can be done on several levels. The following three offload scenarios are defined:

1. Traffic is offloaded to bypass cellular core network, 3GPP radio access is used (not considered in this document)

2. 3GPP radio interface traffic is offloaded from cellular network to e.g. WLAN, 3GPP core network is still used to convey the traffic
3. Non-cellular traffic sent via WLAN radio interface is routed directly to the Internet instead of using cellular core network.

These different scenarios are illustrated in **Figure 2**. In this document, only the offloading scenarios 2 and 3 are considered.



**Figure 2. Different flavors of offloading traffic in 3GPP world.**

The following high-level general requirements can be set on traffic offloading within/from cellular networks:

1. Some part of the traffic is routed outside an operator’s radio access network and/or core network.
2. The operator has means to influence what traffic is offloaded from cellular network, and what traffic is kept within the operator’s network
3. Operations and management burden on the operator should be minimized
4. No user involvement in offload procedures, i.e. for example authentication (if it is performed) has to be automatic

The first requirement above means that either the network or UE – or both – need to have support for traffic offloading. For example, offloading traffic from 3GPP networks via a non-

3GPP access (e.g. WLAN) directly to the Internet can be realized without network support (scenario 3 in the figure above), but bypassing 3GPP core network from 3GPP access requires support from 3GPP network (scenario 1).

Operators provide various kinds of services. Some of them bring more revenue per transferred bits than others. Further, different services have different requirements: for example, voice services require certain level of QoS in order to work. Thus, it probably makes sense for the operator to keep e.g. its voice service fully at its own control, i.e. voice service traffic should not be offloaded outside 3GPP radio access or core network. On the other hand, bulk Internet traffic is very suitable for offloading from operator point of view. Since we are talking about the usage of the operator's networks, the operator has to have means to influence how its network are used: thus, as the second requirement above states, the operator is required to have a way to influence what services are offloaded and what not. This is where Policy-Based Resource Management (PBRM) can be used; PBRM mechanisms can be extended to provide information to the UEs about what services to offload, as discussed in chapter 4.

In order to provide tools to cope with the traffic dynamicity – as discussed in 2.2.2 – an operator needs to be able to react quickly to changing traffic conditions. At the same time, the provided mechanism has to be easy to use and should not require too much manual effort from the operator. PBRM suits well also for this requirement.

The fourth requirement is set from user point of view: if offloading requires some manual work from the user, the result is most probably that there will be no offloading. Thus, offloading needs to happen seamlessly and without user intervention.

### 3 WLAN Offloading

Offloading traffic from a cellular radio access network can be performed with various technologies: for example, cellular capacity can be freed by using e.g. Femto base stations or WLAN access points. Being part of the 3GPP family of technologies, the network selection mechanisms for Femto base stations is defined in 3GPP specifications, and it cannot be influenced by a PBRM type of functionality. But selection of the WLAN network can be influenced; thus, this document concentrates on WLAN offloading.

One of the biggest benefits of using WLAN in offloading traffic from cellular networks is that there already exist lots of WLAN networks: there are WLAN networks run by operators, WLAN hotspot provided by a third party and vast number of private WLAN networks, both at home and enterprises. To make things even better, in practice all the new smartphones and laptops have support for WLAN. So the main idea behind WLAN offloading is to take advantage of this huge installed WLAN base to "extend" cellular network capacity.

As described in **Figure 2**, it is possible to use WLAN offload in two flavors: WLAN offload can be used to free radio capacity on 3G cellular network so that the user data traffic is still routed via 3G core network (scenario 2). In addition to that, also 3G core network (i.e. EPC) can be bypassed: capacity on both 3G radio access and core networks are freed for other usage with WLAN offload (scenario 3). In the following, the possibility to use these two scenarios in different setups is discussed next.

## 3.1 Interworking between 3GPP and non-3GPP Networks

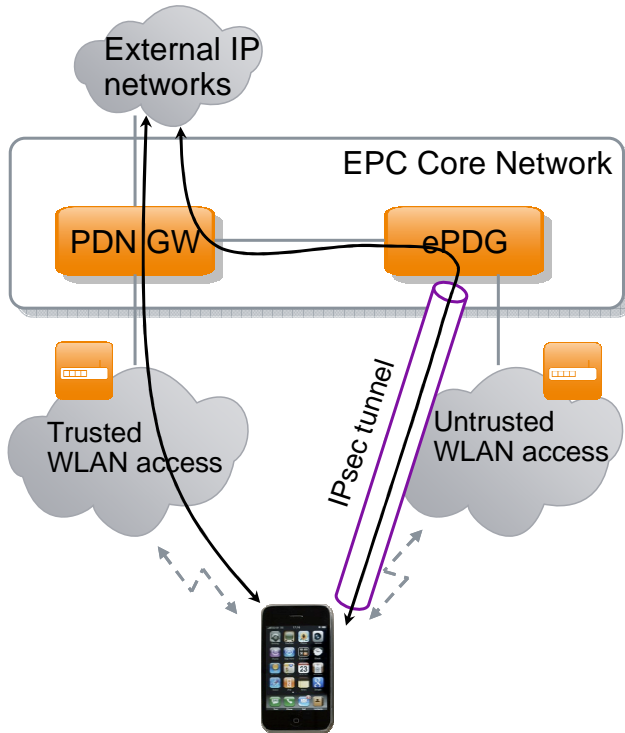
The new 3GPP core network, called Evolved Packet Core (EPC), allows basically any radio access network to get connected to EPC. In 3GPP, the radio access technologies (RATs) are classified to 3GPP (e.g. GSM, WCDMA, LTE) and non-3GPP technologies (e.g. WLAN, WiMAX). The interworking between 3GPP and non-3GPP networks are defined in [6].

In practice, there are two possibilities to realize WLAN offload with 3GPP cellular networks: either there is mobility between 3GPP access and WLAN networks, or then there is not. The mobility support means here that it is possible to transfer a user data context from WLAN access to a 3GPP access (and vice versa) either seamlessly or non-seamlessly. In other words, it is possible to do a handover between the different accesses. In order to be able to support mobility to and from 3GPP networks, the 3GPP mechanisms for mobility have to be followed. Related to this, there are two important characteristics of EPC core network that also affect WLAN offloading: trusted vs untrusted status of the access network and the chosen mobility protocol. These both are discussed in the following. Also, the option not to support mobility between 3GPP and WLAN networks is covered.

### 3.1.1 Trusted and Untrusted Access Networks

The 3GPP specifications do not give precise criteria when an access network should be considered trusted or not. The reason for this is that specifications are meant to capture the technical behavior of a system, while the question whether somebody trusts in something goes beyond technology, and has to do also with organizational, commercial and legal considerations. Consequently, [6] states: "*Whether a Non-3GPP IP access network is Trusted or Untrusted is not a characteristic of the access network.*" It is therefore up to the operators and users to decide whether they consider an access network as trusted or not. In practice, it is the operator that makes this decision: for example, WLAN networks operated by the operator can be considered trusted, but some WLAN hotspot in a coffee shop may be treated as untrusted. The trusted / untrusted status of an access network can be signaled to the UE during connection setup with EAP-AKA or EAP-AKA' signaling. Details on that can be found from [7].

Knowing the trusted / untrusted status of the access network is important to the UE: depending on the status, the UE behavior is different. This is illustrated in **Figure 3**. If the UE is making EPC connection through untrusted WLAN network, the UE first needs to initiate IPsec tunnel establishment towards ePDG network element. When accessing trusted WLAN network, there is no need for this additional tunnel establishment. If for some reason the UE does not know the trusted / untrusted status of a given WLAN network, the UE will treat that network to be untrusted.



**Figure 3. EPC connection from trusted and untrusted WLAN networks.**

After the selection of using either trusted or untrusted access mechanisms, it is time to decide on the used IP mobility protocol. Together with trusted / untrusted selection, the IP mobility protocol selection will impact on the possibility to do WLAN offloading, as described in the next sub-chapter.

### 3.1.2 IP Mobility Protocol

For non-3GPP accesses, EPC supports two main variants of mobile IP protocols: Dual Stack Mobile IPv6 (DSMIPv6) and Proxy MIP (PMIP). DSMIPv6 is so called host-based IP mobility mechanism, i.e. it requires client support in the UE in order to work. PMIP is a network-based mechanism that in principle does not need any support from the UE, i.e. all the procedures related to IP mobility are taken care by the network. However, also PMIP support may have some impact on the UE's internal implementation. The usage of both IP mobility mechanisms with EPC is defined in [6]. The main principles of the available IP mobility protocols can be found from e.g. [8].

Before getting an IP address allocated from EPC, IP mobility mode selection (IPMS) has to be performed. Both static (pre-configured) and dynamic methods can be used. In dynamic method, the UE may indicate its IP mobility protocol capabilities to the network within EAP-AKA or EAP-AKA' signaling. Also, it is possible for the UE to prefer one or the other IP mobility mechanism when both of them are supported. However, it is up to EPC to decide what IP mobility protocol is selected in the end.

When the IPMS has been performed, the IP address allocation mechanism for that IP mobility protocol is followed. All the possible cases are briefly described below:

- a) Trusted WLAN access with PMIP (EPC interface S2a is used): only one IP address is allocated to the UE from PDN GW (Home Agent), so this address is the Home Address (HoA) with mobile IP terminology. Since all the data packets are sent using the HoA, all the traffic is routed via PDN GW, i.e. EPC. This means that only WLAN offloading scenario 2 is possible (refer to **Figure 2**).
- b) Untrusted WLAN access with PMIP (EPC interface S2b is used): before the UE initiates the setup of required IPsec tunnel with the ePDG, the UE has received a local IP address from WLAN network, e.g. via DHCP. Using this local IP address, the UE establishes the IPsec tunnel towards ePDG and receives the HoA from PDN GW. In addition to WLAN offloading scenario 2, the local IP address makes it possible to do WLAN offloading with also scenario 3. The IP address allocation for the operator-controlled home WLAN access is discussed in more detail in chapter 5.2.1.
- c) Trusted WLAN access with DSMIPv6 (EPC interface S2c is used): from IP address allocation point of view, the situation is rather similar to previous one: before getting the HoA from PDN GW, the UE first acquires local IP address. The local IP address is considered in MIP terminology as Care of Address (CoA). Again, the local IP address makes it possible to do WLAN offloading with both scenarios 2 and 3.
- d) Untrusted WLAN access with DSMIPv6 (EPC interface S2c is used): this is the most involved case, the UE has three IP addresses altogether: a local IP address to establish the IPsec tunnel towards ePDG, the IP address received from ePDG which is used as a CoA and then finally the IP address to be used for EPC access (HoA). As with previous cases b) and c), also in this case both WLAN offloading scenarios 2 and 3 are possible. The IP address allocation for the operator-controlled home WLAN access is discussed in more detail in chapter 5.2.15.2.2.

As a summary, PMIP provides more limited possibilities for WLAN offloading. Since UE cannot affect the decision whether a WLAN access is considered as trusted or untrusted, the UE should indicate to the EPC that it prefers DSMIPv6 as mobility protocol, if the UE also wanted to bypass the EPC core with WLAN offloading (Scenario 3). Of course, this requires that DSMIPv6 is supported both in the UE and network.

In all the above cases, IP address has been allocated from EPC. Before that is possible, the necessary AAA procedures with the EPC have to be successfully executed: EPC will allocate IP addresses only to legitimate UEs. With the EPC-allocated IP address and a proper IP mobility protocol, it is possible to do context transfers between 3GPP and WLAN accesses so that there is no connectivity break for the applications. However, WLAN offload can also be performed without direct EPC involvement and thus without mobility support. This is discussed next.

### 3.1.3 No Mobility Support for 3GPP Networks

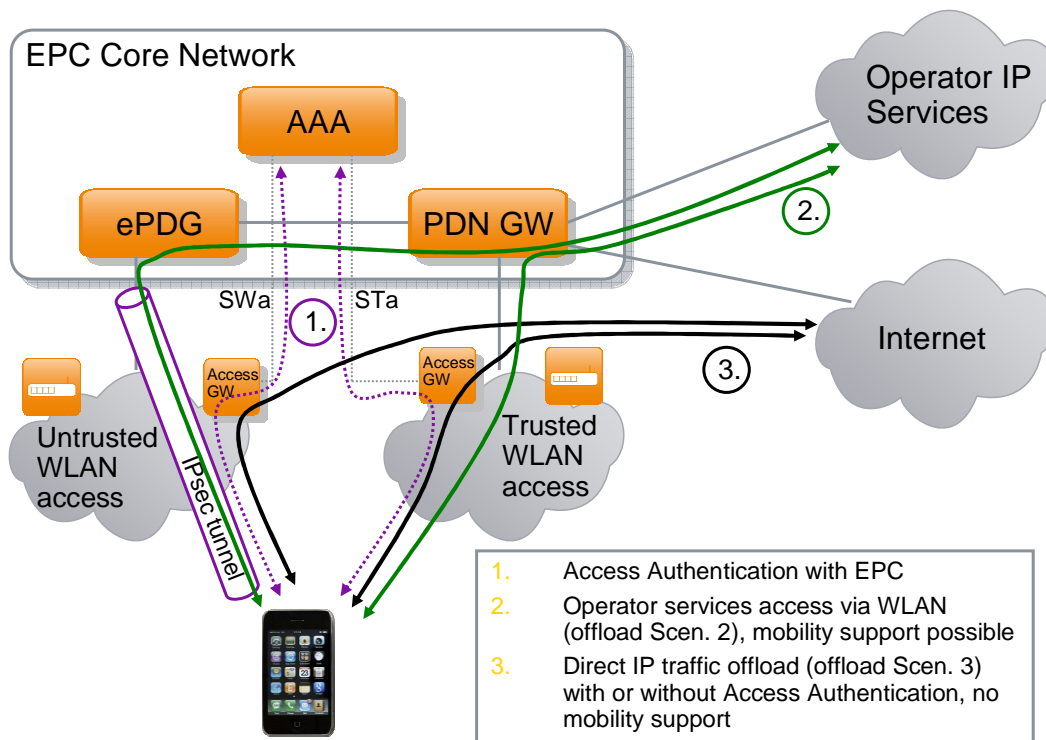
For EPC, there are different kinds of interworking scenarios defined: when EPC is not used to provide mobility between different networks, EPC can still be used to authenticate the user and

the UE to grant an access to a given WLAN network. Of course, it is always possible to use only the local access authentication of a WLAN network (if exists), in which case EPC is not involved at all in the WLAN offload (except for UE guidance, as discussed in chapter 4).

If EPC does not provide the mobility support between 3GPP and WLAN networks, it means there is no IP address allocated from EPC. In this case, WLAN offload can be performed with the local IP address from the WLAN network. This corresponds to the WLAN offloading scenario 3 from **Figure 3**. Since a local IP address from WLAN network is needed, it also means that this is not applicable for trusted WLAN networks where only PMIP is supported (in that case there is no local IP address, refer to the discussion in the previous chapter).

As described above, EPC can be used to perform access authentication for a WLAN network. The usage of this access authentication is fully optional; its main benefit is that this way the WLAN access authentication is integrated with EPC AAA machinery and e.g. SIM based authentication algorithms can be used. If EPC and WLAN network operator decides to use the EPC-based access authentication, a secure connection between EPC AAA server and the access gateway (acting as an AAA proxy) within the WLAN network is required. The connection between AAA servers cannot be established dynamically, i.e. in practice a formal agreement between the EPC operator and WLAN network operator is required. In **Figure 4**, the usage of EPC-based access authentication is shown labelled with "1". As shown in the figure, either SWa or STa interface is used, depending on trusted / untrusted status of the WLAN network. The interfaces are defined in [9]. It should be noted that this optional EPC-based access authentication can also be used when EPC is used for mobility support; in this case, EPC's own AAA procedures are still required before an IP address is allocated from EPC.

When the EPC-based access authentication is successfully performed, WLAN network provides a local IP address to the UE. The UE can use this IP address to directly access Internet services. In **Figure 4**, this is labelled with "3". Only the services in the Internet can be accessed with the local IP address. It should be noted that the applications or IP flows using local IP address (from WLAN network) cannot be moved to 3GPP access network, i.e. handover is not possible. This is due to the fact that IP address cannot be maintained during the handover procedure. Of course, if an application-level support for handovers with changing IP addresses has been implemented into the UE, then the UE itself should be able to handle the handover. For example, Nokia's Application Level Roaming (ALR) feature enables this.



**Figure 4. Access authentication, mobility and no mobility support for WLAN offload.**

After the optional EPC-based access authentication, UE may also choose to proceed with the normal EPC IP address allocation, as described in 3.1.2. In this case, all the user traffic is going through EPC core network and EPC provides mobility support. This is illustrated with label "2" in the figure above.

In the case the optional EPC-based access authentication is not used, some other authentication mechanism specific for that WLAN network is normally performed before a local IP address from the WLAN network is allocated for the UE. After getting the local IP address, the UE can start using the WLAN access for offloading traffic from 3GPP network, following label "3" route in the **Figure 4**.

### 3.1.4 3GPP Standardization Activities

In 3GPP, WLAN offload standardization work has been included within IP Flow Mobility and seamless WLAN offload (IFOM) work, and the corresponding specification is TS 23.261 [10]. In the specification, no new interworking mechanisms between 3GPP and non-3GPP access technologies is defined in addition to the existing ones described earlier in this chapter. Instead, within IFOM it is intended to extend ANDSF functionality so that ANDSF can inform the UE about the applications (or IP flows) the operator wishes the UE to offload away from 3GPP networks. The related mechanisms are further discussed in chapter 4. IFOM is planned to be part of 3GPP release 10.

## **3.2 Different Types of WLAN Networks**

WLAN networks can be deployed in various ways and in various scales. From an operator point of view, basically any WLAN network can be utilized in offloading traffic from 3GPP networks, as long as the network provides access to the Internet and the user is allowed to access that WLAN network.

Although technically possible, there may be other reasons preventing WLAN offloading. For example, it is possible that a formal agreement is required between the 3GPP operator and the operator to whose network traffic is offloaded. E.g. if the WLAN network is connected via DSL to the Internet, it may be illegal – depending on the country – to use the capacity of the DSL operator for offloading data from another operator without permission. As a general rule, the operator willing to do WLAN offloading should have an agreement with all the involved parties, e.g. WLAN network operator, backhaul connection – for example, DSL – provider, etc.

### **3.2.1 Hotspots and Operator Managed WLAN Networks**

Hotspots and operator managed WLAN networks can be considered to be public: normally any user is granted access as long as the user has been qualified to use the network e.g. by buying a cup of coffee or by paying for the access. However, whether the 3GPP operator considers the network as trusted or untrusted depends on the case: coffee shop hotspots will probably be regarded as untrusted whereas the network managed by an operator can be treated as trusted.

There are several ways to realize the authentication and access control for these WLAN networks. From the user point of view, the most seamless experience is achieved when the WLAN network is integrated with the operator AAA services. However, there are also mechanisms to automate for example web page based authentication mechanisms (e.g. by iPass) that are often used with hotspots. Finding a seamless solution for authentication is the biggest challenge for public WLAN networks to be utilized in WLAN offload.

### **3.2.2 Enterprise WLAN Networks**

Enterprise networks are private networks that can only be accessed by the employees of the enterprise. It may be in the interests of both 3GPP operator and enterprise to offload traffic from cellular network: for the enterprise, it is cheaper for the employees to use the enterprise's own network, and for the operator it is beneficial to offload extra traffic.

For the AAA procedures, it is possible to integrate the enterprise network AAA service with the operator network. This is a good option especially when the operator already provides or manages the WLAN network for the enterprise. If a decent solution for making the authentication seamless is found, the 3GPP operator can start to advertise the specific enterprise WLAN network to be used in offload, but only for the employees of that enterprise.

### **3.2.3 Home WLAN Networks**

Utilizing home WLAN networks in offloading is a tempting opportunity for the operators: existing home WLANs are abundant, on average users are staying within home WLAN network area considerably long time and major part of the traffic is created while staying at home. However, there are several issues related to home WLAN networks: they are operated by

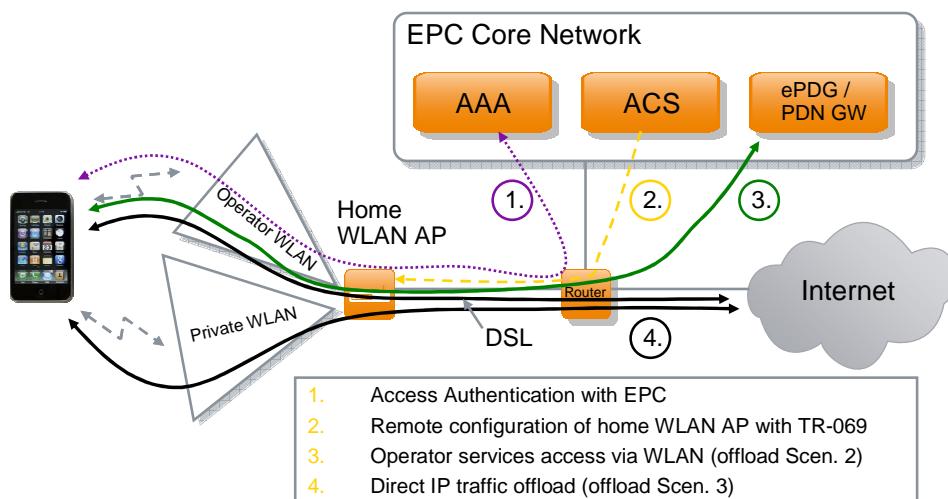
individuals that may use whatever configurations they like, security may or may not be in place, equipment may be switched off or even moved to another location, etc.

With home WLAN networks, the authentication is often realized with pre-shared secure keys, based on e.g. WPA2. In practice, it is very difficult for the operator to know the used security keys, i.e. the authentication cannot be made seamless from user point of view. Of course, many users are able to configure the devices so that also the UE can access the home WLAN network. After the initial successful configuration, also home WLAN can be used for offloading, but it requires manual labor from the user.

### 3.2.4 Operator-controlled Home WLAN Access

To overcome the difficulties related to using home WLAN in offloading, NSN is developing a comprehensive solution. The basic idea is to configure two separate networks into the single home WLAN AP, one operator-controlled and another for private use. In practice, this is realized with two distinct SSIDs. The same SSID may be used for operator-controlled part in all home WLAN APs supporting this feature. This idea is illustrated in the following figure.

In order to enable the configuration of the home WLAN AP, there is TR-069 based configuration interface between Auto Configuration Server (ACS) and home WLAN AP. When the operator-controlled WLAN network is used, access and user authentication within EPC is required. This solution supports both EPC-based mobility and direct Internet access (without mobility), unless operator-controlled home WLAN access is regarded as trusted and only PMIP is in use in the operator network. The operator-controlled home WLAN access is discussed in more detail in chapter 5.



**Figure 5. General idea of operator-controlled home WLAN access.**

## 4 PBRM in WLAN Offload

In order to make WLAN offload beneficial for the operator, there is need for a mechanism that the operator can use to influence what traffic UEs should offload and to what networks the offload should take place. One of the reasons of PBRM development was to enable the operator to influence on UE's WLAN network selection decisions; thus, it is natural to extend PBRM scope also for covering the WLAN offload.

As described in previous PBRM documents (e.g. [1]), the basic PBRM features include network selection and discovery information provisioning. The network selection information is general in that sense that it only identifies a single network for the UE at a given time; the idea is that the UE should use that preferred access network for all the active applications. However, in order to make most out of WLAN offload, it should be possible to use WLAN offload only for selected applications while another set of applications could still use the cellular network. For example, the operator most probably wants to keep the precious voice traffic in its own 3GPP access network(s) where all the required QoS mechanisms are in place, whereas Internet-based services like browsing could easily be offloaded to WLAN. In this chapter, it is considered how PBRM concept should be extended to support policies per applications. Also, the characteristics of different WLAN deployments (described in 3.2) are discussed from PBRM point of view.

### 4.1 Application Information into PBRM Policies

To make PBRM framework to support application-based offloading three things are needed:

1. A mechanism to identify the applications,
2. A mechanism to indicate the preferred access network for this application, and
3. A mechanism to indicate the intended offloading scenario.

For the last requirement, there are two options: either WLAN offload is used so that traffic is routed via EPC or also EPC core network is bypassed (refer **Figure 2** in 2.3).

#### 4.1.1 Application Identification

The selected application identification mechanism needs to be future proof, i.e. the mechanism has also to be able to cover the future applications in addition to the existing ones. Further, the mechanism should be easy to apply both from the UE and operator point of view. In the following, some possible mechanisms for application identification are discussed.

**Application naming:** In general, information about application is something that is delivered from the PBRM server to the UE: in practice, it is enough that the UE can interpret the information and map it to some real-life application. It would for example be possible to define certain set of applications that are distinguishable – like Browser, Email, etc – and then agree

the naming conventions used within PBRM framework. However, this kind of mechanism is not flexible and hardly future proof.

**Application classification:** It is possible to classify the applications based on the traffic the application creates. For example, often used model in 3GPP is to classify the applications following their QoS requirements: *Background* class could be used for time-insensitive applications like Email or file downloading, *Interactive* for web browsing, *Streaming* for (non-live) video and audio streaming and *Conversational* class for voice services and live streamings. In this scheme, it is the responsibility of the UE to do the mapping of the current application to one of the classes. With this approach, it is possible that the UEs from different vendors make different mappings: the operator may not get the control it should with this scheme.

Another challenge is that nowadays many services may be launched within browser: for example, YouTube, Facebook etc. may look the same for application classification, although the service requirements are very different. Also, the service traffic may be run on top of "wrong" protocol, e.g. HTTP is nowadays used a lot to carry video and audio streaming. Application classification scheme does not cope very well with this kind of real-life network usage.

**Application filtering:** The most flexible and versatile mechanism is to use so called IP 5-tuple to classify application and its data. 5-tuple may include source and destination IP addresses, source and destination ports and the used protocol. The good thing about this scheme is that it can cope with any application, present or future: when classifying an application, at least one of the 5-tuple values is different from other applications.

The bad thing is that it can be a nightmare for an operator to maintain. In practice, it also may be difficult to map all the applications down to used port level, especially when an application may use different ports every time it is launched. However, with the 5-tuples, it is also possible to define only sub-set of the values and omit the unnecessary ones. It is also possible to use ranges, e.g. to define destination port to have value '1000-2000'. For the source and destination addresses, it is possible to use prefixes to identify certain subnet instead of a single address.

**Application identification with destination:** 5-tuple can be used to identify the destination address of the application. In practice, the destination address already identifies the application accurately enough, especially for the offload purposes. For example, if the operator wants to distinguish YouTube traffic, it is enough to define the IP prefix used for YouTube servers, and omit all the other values of 5-tuple.

Another way to present application traffic destination is to use 3GPP Access Point Name (APN). In practice, traffic of an application associated with a certain APN is routed via a specific gateway in 3GPP core network (e.g. PDN GW). For example, normally IMS-based voice service is bound to a specific APN: if the operator Elisa provided IMS services, the FQDN of the APN used for IMS services could be e.g. `ims.apn.epc.mnc05.mcc244.3gppnetwork.org`. If PBRM server indicated this APN is associated with certain access networks, the UE would be able to deduce that it should select one of the indicated access networks for IMS-based voice services, and route the application traffic towards the given APN, i.e. not bypass EPC.

Of course, if the target is just to distinguish the WLAN offloading scenarios 2 and 3 (from **Figure 2** in 2.3) from each other, APN is not necessary for that: a simple indication or flag from PBRM – i.e. select either scenario 2 or 3 – is enough.

## 4.1.2 Recommended Method

To fulfill the requirements listed in the beginning of chapter 4.1, the following set of information is recommended to be added to PBRM:

- Application identification: the operator identifies the application that should be offloaded by defining only the corresponding destination/remote IP address. In practice, it is possible to represent the remote address e.g. with 5-tuple, if it is preferred to have more flexibility for currently unseen future use. Also, the mechanism should be flexible enough to represent more generic rules: for example, offload all streaming traffic to WLAN. With 5-tuple, this kind of information may be difficult to represent, especially if the streaming traffic can be carried over various protocols, e.g. HTTP, RTP etc. If better mechanism is not identified, defining only the remote address may still be in practice the best method to distinguish also the most resource-consuming application types, like streaming.
- Preferred access network(s) for the application: the operator defines prioritized access network or a list of access networks that the applications identified with the above mechanism should use. PBRM already supports a general prioritized access network list; the same mechanism could be re-used with a new mapping to the application(s) to offload. The application identification and information about preferred access networks are needed to realize WLAN offload scenario 2 (**Figure 2** in 2.3).
- Offloading scenario indication: To distinguish the WLAN offloading scenario 3 from scenario 2, some indication is needed whether the UE should route the traffic via EPC core network or directly to the Internet. In its simplest form, this indication can be a flag included in PBRM WLAN offloading information.

From the remainder of this document, the information listed above is referred as *WLAN offload policy*. In practice, WLAN offload policy can be combined together with the generic PBRM network selection policies, or it is also possible that WLAN offload policy is represented as separate set of information from other PBRM network selection policies.

## 4.2 PBRM WLAN Offload Usage

### 4.2.1 Use Cases

In this chapter, the usage of PBRM WLAN offload with different WLAN deployments is discussed. It should be noted that in the case 3GPP operator only supports PMIP and the intended WLAN offload network is regarded as trusted, only WLAN offload scenario 2 is possible. For illustration, ANDSF syntax is used for the PBRM information examples given in Figure 6 and Figure 7 below.

1. Only the generic PBRM network selection information is used: The simplest way to realize some kind of WLAN offloading guidance for the UEs is to use only the generic PBRM network selection information, i.e. the above described WLAN

offload policy additions for PRBM framework are NOT used. In this case, the operator just defines the WLAN networks the UE should be using, but leaves it up to the UE to decide what applications UE runs over the WLAN networks and what not. From the operator point of view, this may bring some benefits in terms of offloaded traffic, but it is also possible the end result is harmful: some UEs may try to offload applications that do not work or work poorly over the WLAN network and the user only sees bad service. While this use case is possible with the (basic) PBRM, it is recommended that also the offload additions for PBRM framework are taken into use.

An example of PBRM information for this use case is given in the figure below:

```

Use case 1:
./ANDSF/Policy/Set_1/RulePriority = 1
./ANDSF/Policy/Set_1/PrioritizedAccess/1/AccessTechnology = WLAN
./ANDSF/Policy/Set_1/PrioritizedAccess/1/AccessID = HomeRun
./ANDSF/Policy/Set_1/PrioritizedAccess/1/AccessNetworkPriority=10
./ANDSF/Policy/Set_1/PrioritizedAccess/2/AccessTechnology = 3GPP
./ANDSF/Policy/Set_1/PrioritizedAccess/2/AccessNetworkPriority = 30

./ANDSF/Policy/Set_2/RulePriority = 2
./ANDSF/Policy/Set_2/PrioritizedAccess/1/AccessTechnology = WLAN
./ANDSF/Policy/Set_2/PrioritizedAccess/1/AccessID = Coffee_shop
./ANDSF/Policy/Set_2/PrioritizedAccess/1/AccessNetworkPriority=20
./ANDSF/Policy/Set_2/PrioritizedAccess/2/AccessTechnology = 3GPP
./ANDSF/Policy/Set_2/PrioritizedAccess/2/AccessNetworkPriority = 30

```

**Figure 6. An example of general PBRM network selection information without application-specific WLAN offload policy information.**

ed  
PBRM information includes also application identification, preferred access network for those and offloading scenario indication (refer to 4.1.2).

- Hotspots: if the operator AAA mechanisms are used with the hotspot and either PMIP or DSMIPv6 is supported, it will be possible to seamlessly (without user interaction) offload traffic to hotspot. In that case, hotspots are very useful in WLAN offloading, and both offloading scenarios 2 and 3 are possible, assuming hotspot is regarded as untrusted. If it is not possible to use operator AAA mechanisms, the authentication may not happen automatically and only WLAN offloading scenario 3 is possible. The same is true if there is no support for either PMIP or DSMIPv6.
- Operator managed WLAN networks: These networks are connected to the operator AAA mechanisms, and makes the offloading very attractive. Most probably, these networks are considered trusted, so for the operators supporting only PMIP, the solely supported WLAN offloading scenario is 2. For the operators having support for DSMIPv6, also scenario 3 is possible.
- Enterprise WLAN networks: This is applicable only for the employees of the enterprise. If the enterprise's own AAA machinery is not integrated with the

operator's (which is very probable), only the offloading scenario 3 will be supported.

- Home WLAN networks: generic home WLAN networks are most probably not connected to the operator's AAA machinery. Unless the home network is provided by the operator, there is currently no way how the operator could know the characteristics (e.g. SSID) of the home WLAN network. This means that the operator cannot advertise the specific home network with PBRM. It is up to the user (manually) to control if WLAN network is used for some applications or not. In some sense, this could be considered as a (manual) support for WLAN offloading scenario 3, but definitively there is no chance for the operator to influence on the usage of WLAN offload.
- Operator-controlled home WLAN access: a special solution for home WLAN. As shortly described in 3.2.4 and in more detail in chapter 5, the special operator WLAN network configured (via TR-069) into the home WLAN AP is connected to operator AAA mechanisms. Also, the SSID (of the operator part) is known to the operator, so it is possible to include this information into PBRM too. If the operator-controlled WLAN is considered as trusted and only PMIP is supported, only WLAN offload scenario 2 will be possible. Otherwise, also offloading scenario 3 is possible.

An example of PBRM information for this use case is given in the figure below. Here it is assumed that the WLAN offload policy information is combined or integrated with the generic PBRM network selection policy information, i.e. WLAN offload policy information cannot be used as standalone.

**Use case 2:**

```
./ANDSF/Policy/Set_?/ [The same as in Use case 1 above, Figure 6]

./ANDSF/OffloadPolicy/Set_1/Appl_ID/1/Dest_IP = 74.125.4.0/24
./ANDSF/OffloadPolicy/Set_1/PrioritizedAccess/Ref = ./ANDSF/Policy/
Set_2
./ANDSF/OffloadPolicy/Set_1/OffloadScen = 1 /* Scen 3 */

./ANDSF/OffloadPolicy/Set_2/Appl_ID/1/Dest_IP = 194.252.88.100
./ANDSF/OffloadPolicy/Set_2/PrioritizedAccess/Ref = ./ANDSF/Policy/
Set_1
./ANDSF/OffloadPolicy/Set_2/OffloadScen = 0 /* Scen 2 */
```

**Figure 7. An example of PBRM WLAN offload policy information with application and offloading scenario indications.**

## 4.2.2 Possible Workflow for the Operator

In order to make WLAN offload an effective tool for the operator, it is not necessary to identify every single possible application on the network and then define PBRM information for all of those. Instead in practice, it is often enough to distinguish only e.g. couple of the most resource consuming applications. This can be done e.g. by following the traffic going through the operator network, and then modify the PBRM rules accordingly. When defining PBRM rules only for a small subset of applications, the operation and management burden on the operator can be minimized.

In the following, an example workflow for the operator is given:

1. The operator monitors the traffic in the network
2. If there is excessive amount of traffic in some part of the network, the operator identifies couple of worst applications (or destinations/remote hosts) that creates most traffic.
3. If enabling or changing WLAN offload could help to solve the situation, new PBRM rules for WLAN offloading are defined. The new PBRM WLAN offload policies include application identification (i.e. the destination/remote IP address), the WLAN networks to which the traffic should be offloaded and the intended offload scenario (WLAN offload scenario 2 or 3).
4. The new PBRM WLAN offload policies are put into the queue of the PBRM server to be downloaded to the UEs when they next time contact the PBRM server. For the UEs creating most traffic, it is also possible to push the new PBRM rules immediately.
5. When the UE downloads the new PBRM WLAN offload policies, it will start to follow them. When a new connection for an application is established, the UE considers the updated PBRM WLAN offload policies and acts accordingly.

The above described workflow can be used to enable WLAN offload for selected UEs – or for all the UEs – and also modify the UE behavior for WLAN offload by updating the PBRM rules. This way, the operator may quickly react to a changing situation in the network traffic.

## 5 Routing and Mobility with Operator-controlled Home WLAN Access

In this chapter, we will take a closer look on the operator-controlled WLAN access. The main emphasis of this chapter is on the routing and mobility support of different network elements when a UE gets IP connectivity via operator-controlled home WLAN access.

It is assumed that the operator providing the operator-controlled home WLAN access is using 3GPP EPC as cellular core network. In practice, this means that e.g. SIM-based AAA procedures and the general EPC mobility support between different access technologies based

on either PMIP or DSMIP can be used. Also, it is assumed that one operator-controlled home WLAN access is connected to only one EPC core network, i.e. there is one-to-one relationship between the home access and the operator.

Further, the discussion on this chapter considers only untrusted non-3GPP accesses, i.e. it is assumed that the WLAN network used to provide operator-controlled home WLAN access is regarded as untrusted from the operator point of view. In general, nothing prohibits the operator to treat the home WLAN access also as trusted, but since the WLAN equipment is physically installed outside the operator reach, it is probably safer for the operator to rely on the procedures defined for untrusted non-3GPP accesses. Further, as discussed earlier in chapters 3.1.1 and 3.1.2, it is not possible to use direct IP traffic offload (WLAN offloading scenario 3) with trusted WLAN access networks when PMIP is used, since there is no local address allocated for the UE. Thus, treating the operator-controlled home WLAN access as untrusted gives the operator more options for offloading.

The structure of chapter 5 is the following: first on 5.1, the general architecture of the operator-controlled home WLAN access is shortly described. Also, the way how it can be connected with EPC and its services is discussed. Chapter 5.2 concentrates how IP addresses are allocated in practice with EPC with or without the two possible IP mobility solutions. On chapter 5.3, the requirements for routing on the UE, WLAN AP and in other network elements are discussed. 5.4 discusses the mobility related to the operator-controlled home WLAN access, and 5.5 summarizes this chapter.

## **5.1 General Architecture for Operator-controlled Home WLAN Access**

The main idea of operator-controlled home WLAN access is to enable WLAN offload also for the home WLAN networks. The solution allows the operator to manage the home WLAN network without interfering the usage of the private home WLAN. The operator-controlled home WLAN access supports both WLAN offloading scenarios 2 and 3.

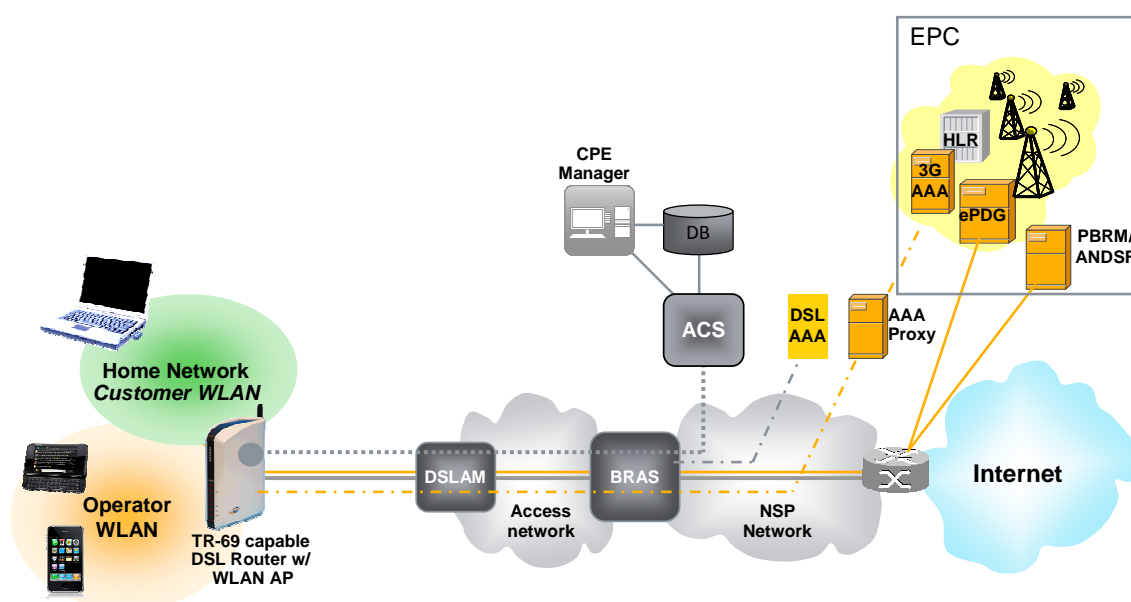
### **5.1.1 General Architecture**

As briefly described in 3.2.4, the operator-controlled home WLAN access relies on using two distinct WLAN networks on the home WLAN equipment. For the end user, these two networks are visible as two different SSIDs. The operator SSID defines within the same physical equipment the second virtual WLAN access point that can have own traffic routing and security solutions. As with any other SSID, the operator SSID may or may not be hidden, depending on the operator preferences. In **Figure 8**, the general architecture of the operator-controlled home WLAN access is shown.

The basic idea of the operator-controlled home WLAN access is to separate the functions of the private and "public" operator networks. The usage of the private network is the same as with any other private WLAN APs, user can configure and use it as he wishes. However, the operator part is in the operator's control completely. In practice, this control can be realized by using TR-069 based configuration interface [12], as shown in the figure. With TR-069, it is possible to alter the settings of the Customer Premises Equipment (CPE) remotely e.g. over

ADSL line. Auto Configuration Server (ACS) together with CPE manager and database form the network side of the TR-69 system. TR-69 support is also required from the CPE, i.e. home WLAN AP equipment. The internal functional entities of the home equipment are further discussed on the next sub-chapter 5.1.2.

On the figure below, two ADSL network elements are visible: DSLAM and BRAS. Digital Subscriber Line Access Multiplexer (DSLAM) is the unit containing the line termination of the subscriber line and the aggregation function to split and combine user traffic from individual lines towards a high capacity transport infrastructure in the access network. Broadband Remote Access Server (BRAS) acts as access router and access control and policy gateway for enabling user access to the Internet and services provided within the Network Service Provider (NSP) network. User sessions are established in the BRAS usually by use of PPPoE (Point-to-Point Protocol over Ethernet).



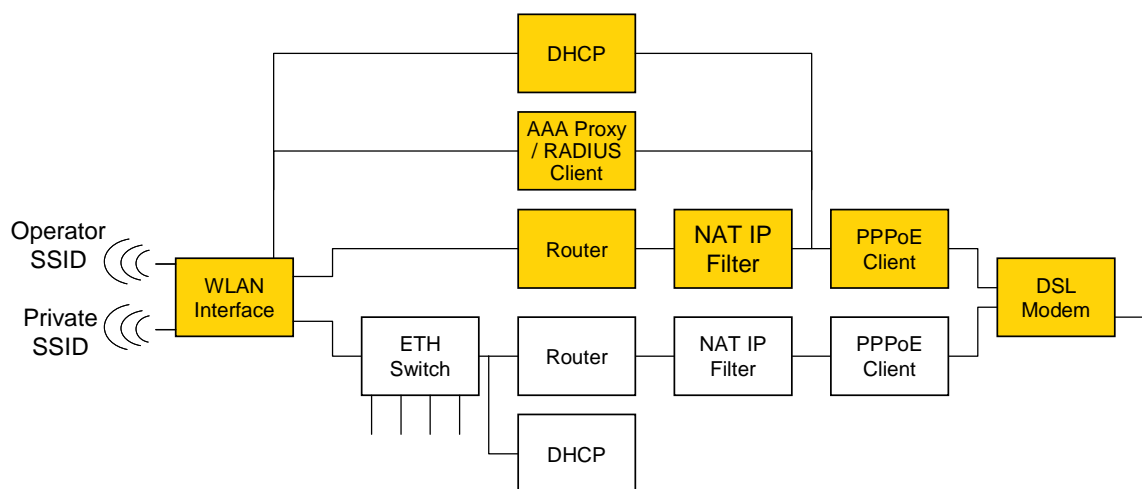
**Figure 8. General architecture for operator controlled home WLAN access.**

Depending on the deployment, NSP network may be operated by the same operator as EPC. In that case, it is up to the operator to decide how to realize the connectivity between ADSL / NSP network and EPC. If the ADSL and EPC operators are different, it is assumed that IP packets can be routed between ADSL / NSP network and EPC. In practice, it means that the IP address of ePDG is not private and can be reached from NSP and UE. ePDG is the gateway to access EPC from non-3GPP access networks. More detailed discussion on EPC and its network elements can be found e.g. from [8].

In order to secure the operator-controlled home WLAN access, the WPA Enterprise security suite is used on WLAN AP. When accessing the operator WLAN part, EPC-based AAA procedures are executed between the UE and EPC. For that, EAP-AKA is used. The WLAN AP is required to be able to forward the EAP messages between the UE and EPC AAA server (or to/from another AAA proxy, if the EPC AAA server is accessed via another proxy). During the EAP-AKA process, also the WPA encryption keys to secure the WLAN air interface are negotiated.

## 5.1.2 Functional Entities

When considering routing with the operator-controlled home WLAN access, it is good to take a look at the required functional entities within WLAN AP itself. In **Figure 9**, the white entities represent the functions of the private side, i.e. those entities can be found from any standard WLAN AP equipment. The yellow entities belong to the operator side and are not configurable by the user, only by the operator via TR-069 interface.



**Figure 9. Functional entities of operator-controlled home WLAN access within WLAN AP equipment.**

The separation of private and public sides on the figure is only logical; it does not reflect how real products should be implemented. In practice, only WLAN interface and DSL modem are physical entities that cannot easily be multiplied e.g. with software.

For IP address allocation in home WLAN AP, both private and operator sides have their own DHCP servers. Since the two SSIDs – operator and private SSID – provide access to two different networks, also the allocated IP addresses should be from different subnets. The operator side DHCP only provides addresses for devices connecting via WLAN air interface.

AAA proxy on the figure is required for conveying EAP-AKA messages between the UE and EPC. In practice, the connection between an AAA proxy and server need to be setup and configure beforehand; TR-069 interface can be used for configuring AAA proxy on home WLAN AP, if needed. In general, any WLAN AP supporting WPA Enterprise security suite should have AAA proxy functionality built in.

As can be seen from the figure, also the data paths of the private and operator sides are separated from each other. The intention is that the operator side can only be accessed after successful authentication with EPC. Thus, home WLAN AP need to make sure that the security of the operator side is not compromised, and it is e.g. not possible to access operator side via private side. Also, all traffic from the operator SSID is always routed directly to ADSL (and vice versa), whereas traffic on the private side may be routed either to private WLAN SSID, Ethernet interfaces or ADSL line.

### 5.1.3 Role of PBRM

As was discussed in chapter 4.2, PBRM (or ANDSF in case of EPC) can very well be used with the operator-controlled home WLAN access. In fact, PBRM is the only mechanism for the operator to influence on the usage of operator-controlled home WLAN access, and thus it is an important part of the concept.

Since the SSID used on the operator side on the home WLAN AP is known to the operator (the operator configures the SSID), it is possible to include information about it in the PBRM database. In practice, it is possible to provide either only the generic PBRM network selection information (no application-specific info) or also the WLAN offload policy information (different network selection rules for different applications). Since operator-controlled home WLAN access is managed by the operator, it should be safe to assume that the operator services can also be run on top of WLAN connection (i.e. no firewalls or NATs blocking traffic unexpectedly), so also the generic PBRM network selection information can be used. However, more accurate influence on the offloaded traffic type can be achieved when the WLAN offload policies are used.

It should also be noted that in practice PBRM can only be used to provide information for the operator side (with operator SSID) of the home WLAN AP, i.e. the private side (with private SSID) is completely unknown to the PBRM server. This means that the usage of the private side is fully up to the user and the implementation of the UE.

## 5.2 IP Address Allocation

When getting the connectivity via the operator-controlled home WLAN access, the UE has two options: either to access the operator SSID and acquire IP address(es) as specified for non-3GPP access of EPC, or to access the private SSID as any other WLAN AP with local IP address allocation.

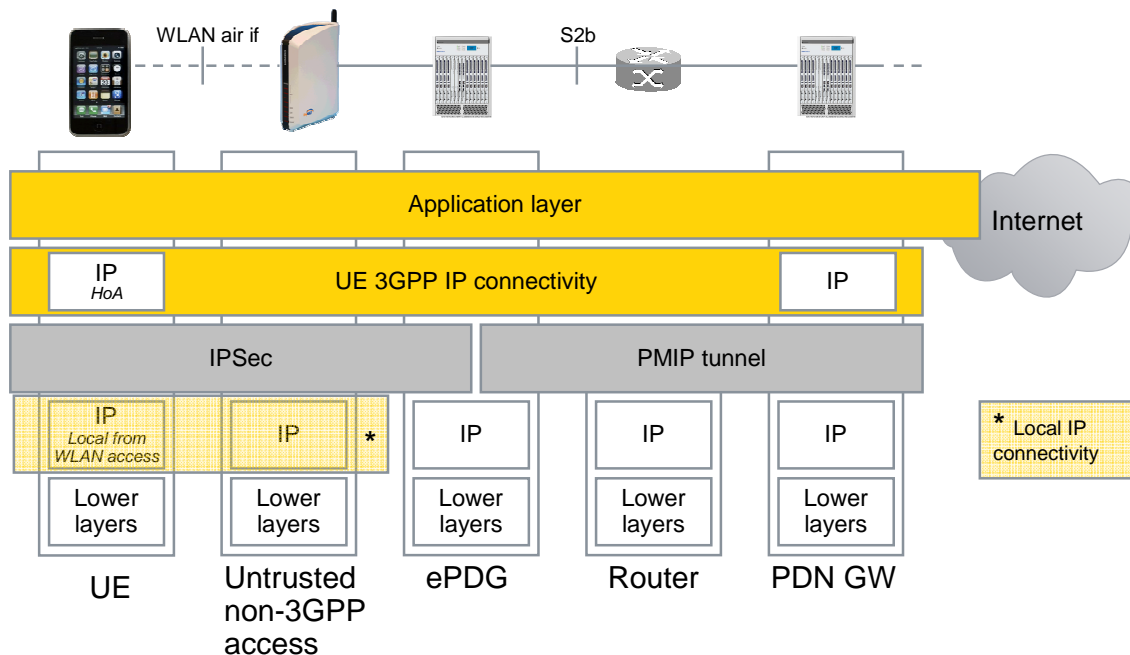
When the UE decides to access the operator SSID – e.g. based on the network selection or WLAN offload policies received from PBRM – the 3GPP-defined procedures for non-3GPP accesses are followed (refer e.g. to [6] for details). Depending on the selected IP mobility protocol, the procedures are slightly different. Both PMIP and DSMIPv6 scenarios are discussed separately. After that, accessing the private SSID is also considered for comparison.

### 5.2.1 Accessing Operator SSID, PMIP Scenario

In general, depending on the WLAN network deployment, there may or may not be access authentication performed before a UE is granted access to the WLAN network and an IP address is allocated. With the operator-controlled home WLAN access, operator AAA mechanisms are used also for access authentication. From WLAN access, this requires support for WPA Enterprise security suite: this enables conveying the required EAP-AKA messages between the UE and EPC core network. The following discussion applies for untrusted non-3GPP accesses, i.e. WLAN networks.

When the WLAN access authentication based on EAP-AKA has successfully been completed, a local IP address can be allocated to the UE. In practice, the operator side DHCP server on the WLAN AP (refer to **Figure 9**) is responsible for that after getting access approval from AAA

functionality. Currently, most of home WLAN equipment allocates IPv4 addresses, but the local IP address can also be IPv6 address. On **Figure 10**, IP address allocation for PMIP scenario is shown. In principle, the UE could stop here with IP address allocation process, and only use the local IP address for Internet services (WLAN offload scenario 3), without the access to EPC services.



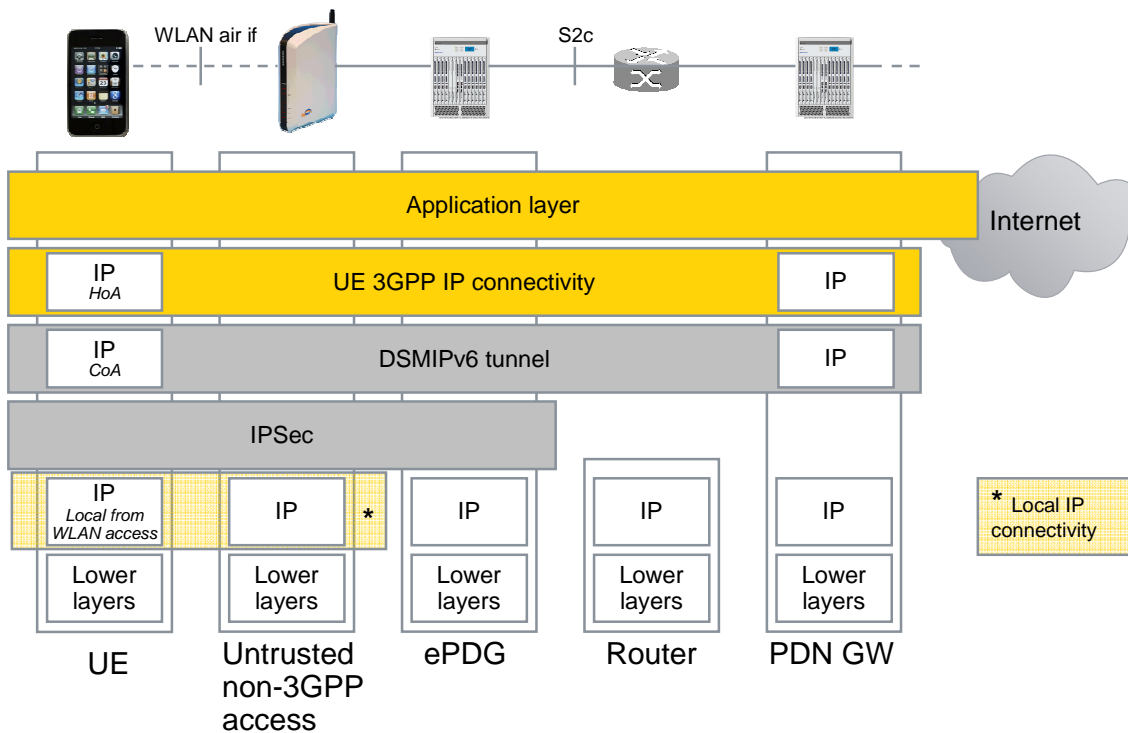
**Figure 10. Schematic view of application and IP connectivity layers with PMIP on untrusted non-3GPP access (S2b interface).**

In order to continue the IP address allocation with EPC, an IPSec tunnel establishment is initiated. Since the tunnel is established between the UE and ePDG, WLAN AP is not required to have any tunneling mechanisms of its own. First, UE needs to find out the IP address of ePDG by standard DNS mechanisms. When the ePDG IP address is available, the UE initiates IKEv2 tunnel establishment procedure towards the ePDG. As part of the IKEv2 tunnel establishment, public key-based authentication with certificates is used to authenticate the ePDG. The UE is authenticated based on credentials on the SIM: within IKEv2, EAP-AKA is run to perform authentication and key agreement. After successfully completing the IKEv2 authentication and tunnel establishment, ePDG includes the IP address in the final IKEv2 message sent to the UE. This IP address is allocated in PDN GW during the authentication procedure and it is the home address (HoA) of the UE. The HoA can be either IPv4 or IPv6 address, depending on the operator deployment and UE support. In summary, the UE has two IP addresses: the local IP address for communicating with ePDG (or for offloading traffic directly to the Internet) and the home address for accessing services via EPC.

It should be noted that during the above steps, EAP-AKA procedure is run twice; first with access authentication and then during IKEv2 tunnel establishment.

## 5.2.2 Accessing Operator SSID, DSMIPv6 Scenario

When accessing EPC services from untrusted non-3GPP access with DSMIPv6, altogether three IP addresses are allocated to the UE, as shown in **Figure 11**.



**Figure 11. Schematic view of application and IP connectivity layers with DSMIPv6 on untrusted non-3GPP access (S2c interface).**

The beginning of DSMIPv6 scenario follows the PMIP case: first, the access authentication for WLAN network is performed. After successful completion, UE gets the local IP address from WLAN AP DHCP server (operator side), just like with PMIP. After that, to continue IP address allocation with EPC, an IPsec tunnel establishment is initiated, as described above for PMIP scenario. However with DSMIPv6, ePDG allocates Care of Address (CoA) after successfully completing the IKEv2 authentication and tunnel establishment. After this, all the subsequent packets are sent inside the IPsec tunnel.

Following the standard (host-based) mobile IP procedures, the UE gets the home address (HoA) from the home agent (HA) residing in PDN GW during the security association establishment between the UE and HA. This security association is realized with IKEv2 and EAP-AKA; thus, EAP-AKA is executed altogether three times with DSMIPv6: first with access authentication, then for IPsec tunnel establishment between UE and ePDG, and finally for security association between the UE and PDN GW.

After having acquired both CoA and HoA, the UE is required to update this (CoA, HoA) pair to the home agent. This is done with mobile IP Binding Update messages. With the binding update information, the HA is able to route the incoming packets to the correct recipient. The

HoA can be either IPv4 or IPv6 address, depending on the operator deployment and UE support.

Now the UE has three IP addresses: the local IP address for communicating with ePDG (or for offloading traffic directly to the Internet), the Care of Address that is used for DSMIPv6 tunneling and the home address for accessing services via EPC.

### 5.2.3 Accessing Private SSID

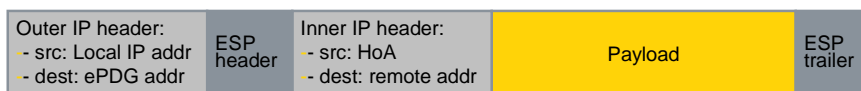
Accessing the private SSID of the operator-controlled home WLAN access does not differ from accessing any generic home WLAN. It is up to the WLAN AP implementation to ensure that the private and operator SSIDs are disconnected from each other for configuration, IP address allocation and traffic routing. Any available WLAN authentication mechanism can be used with the private SSID. For example, if WPA2 pre-shared key mode (i.e. the personal mode) is used, WLAN AP may deploy push button mechanism for easy setup of the encryption and the required encryption keys (i.e. pre-shared key, PSK). After the UE is authenticated for the local WLAN AP, DHCP server of the private side (refer to **Figure 9**) allocates the local IP address for the UE. It should be noted that the UE can only be connected to a single SSID at a time, i.e. the UE gets its local IP address allocated either by the operator or private side of the WLAN AP.

### 5.2.4 Sending Packets

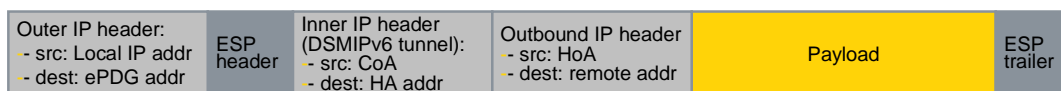
On **Figure 12**, examples of sent uplink IP packets with different header structure are shown. In both PMIP and DSMIPv6 scenarios, Encapsulated Security Payload (ESP) protocol of IPsec is used in so called tunneled mode, where the complete IP packet is protected, not just payload. ESP header is added in front of the protected IP packet and its header (called inner IP header in ESP terminology), and ESP trailer at the end of the protected IP packet. Since in practice most of the home WLAN APs allocate IPv4 addresses only, there is NAT functionality within WLAN AP. For the PMIP and DSMIPv6 scenarios, it is up to IPsec and ESP to take care of NAT traversal, e.g. by using UDP encapsulation (not shown in the figure below). Note: it is not in the scope of this document to discuss the issues related to the used IP protocols in non-3GPP interworking of EPC; this field may require further work, at least to verify there are no found unsolved issues.

In the figure, the topmost packet structure represents an uplink IP packet sent with PMIP towards EPC. The source address of the outer IP header is the (NATted) local IP address from WLAN AP and the destination address is the IPv4 address of ePDG. In the actual payload packet, the source address (inner IP header) is the HoA allocated from home agent in PDN GW and the destination address is the address of remote host. When the packet reaches ePDG, it will remove the outer IP header – i.e. it terminates the IPsec tunnel – and forwards the packet further to home agent in the PDN GW that routes the inner packet towards the final recipient.

Packet structure of uplink packet with PMIP (S2b interface) over WLAN, WLAN offload scenario 2.



Packet structure of uplink packet with DSMIPv6 (S2c interface) over WLAN, WLAN offload scenario 2.



Packet structure of uplink packet with local WLAN connectivity, WLAN offload scenario 3.



**Figure 12. Example uplink IP packets sent from UE in PMIP, DSMIPv6 and direct offload scenarios.**

For DSMIPv6 case, the packet structure is similar to PMIP scenario except there is the extra DSMIPv6 tunnel and its header: the UE inserts its CoA into the source address field and sets the destination to HA IP address for DSMIPv6 tunnel header. ePDG removes the IPsec tunnel header and PDN GW the DSMIPv6 tunnel header, respectively.

For both PMIP and DSMIPv6 case, the above described IP packet structure is only used when sending packets towards EPC (WLAN offload scenario 2). If the UE wishes to route its traffic directly to the Internet (WLAN offload scenario 3), the UE only uses the local IP address as the source and the final recipient as the destination address. This is illustrated in the lowest IP packet structure in the figure. This same packet structure is used in both operator and private SSIDs, when routing traffic directly towards the Internet.

## 5.3 Routing Requirements

In operator-controlled home WLAN access, the WLAN AP functionality needs to be enhanced from the standard off-the-shelf equipment: the WLAN AP is required to be able to handle two separate data paths, the other from the operator-controlled SSID and the other from private SSID. Here, it is assumed the WLAN AP consists of functionality illustrated on **Figure 9**.

### 5.3.1 WLAN AP

When receiving a packet from the operator SSID, WLAN AP needs to make sure that the packet is always routed directly towards the ADSL line. When a packet is received from ADSL line, WLAN AP is responsible to make the NAT conversion (assuming WLAN AP allocates "NATted" addresses for the UEs) and based on NAT mappings forward the packet to the correct

recipient either via the operator or private data path. If the packet is destined to the operator data path, the only destination the received packet can take is the operator SSID. However, if the packet is destined to the private data path, WLAN AP may route the packet either towards the private WLAN SSID or Ethernet interfaces (if available). Correspondingly, a packet received from the private SSID can be routed either back to the private WLAN SSID (if also the recipient resides in the private WLAN SSID), Ethernet interfaces or towards ADSL line. The above described is the basic routing functionality that is required from the WLAN AP in operator-controlled home WLAN access.

When WLAN AP forwards packets towards ADSL line, it is assumed that the next hop router behind the WLAN AP router functionality – e.g. BRAS (refer to **Figure 8**), or another router behind it – is able to forward the data packets towards the recipient. The recipient may be reachable e.g. via ePDG gateway or gateway that provides access directly to the Internet.

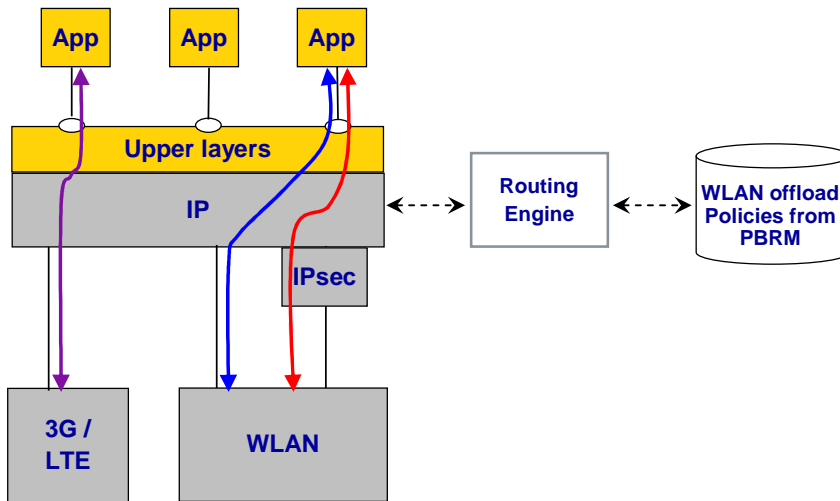
Since in the operator-controlled home WLAN access the WLAN air interface is divided between two different SSIDs, also the capacity may be limited if both SSIDs are used at the same time. Standard WLAN mechanisms can be used to prioritize traffic on radio interface, but it may also be necessary to limit the amount of overall traffic sent through either the operator or private data paths. The router functionality could be extended to implement this kind of limiter, e.g. by buffering some data for a short period of time if the level of consumed resources gets too high.

### 5.3.2 UE

In operator-controlled home WLAN access, also the UE is required to participate in routing. Since both the IPSec and DSMIPv6 tunnels terminate on the UE, only the UE can decide to what tunnel an IP packet should be directed. On the UE, a routing engine is responsible for the routing decisions, as illustrated on **Figure 13**. It is possible that the destination to which traffic should be sent can be reachable via multiple routes: 3G or LTE connection via EPC may be one option or then non-3GPP radio access with or without EPC connectivity may be used.

In order to help the UE in routing and to provide the operator means to influence on the access selection, PBRM WLAN offload policies can be used to guide UE's routing engine in its routing decisions. As discussed in chapter 4, the PBRM WLAN offload policies are preferably application-specific. Also, the PBRM WLAN offload policies need to distinguish whether the traffic is sent via EPC, or directly to the Internet. Together with PBRM policies and user preferences, the UE can select the correct route for a new connection.

Depending on the implementation of the UE, the different routes to various destinations can be represented in many ways: for example, DSMIPv6 tunnel may be shown as extra interface for the applications. When sending data, it is the responsibility of the UE to route each packet on the appropriate path based on the final destination, PBRM WLAN offload policies and other local factors influencing routing engine decisions.



**Figure 13. Routing different application traffic on the UE.**

### 5.3.2.1 Multiple Interface Handling

Multiple interfaces and their handling in the UE is a generic problem area that also affects how well the operator-controlled home WLAN access works in practice. In short what is it all about: hosts (or UEs) with multiple interfaces may receive configuration information from each access network separately. Some of this configuration information is global for the host whereas some is local and only valid to a single interface. Various issues may arise when conflicting configuration information is received from multiple interfaces. For example, the correct DNS server address may be different when using 3GPP or WLAN access, but some host implementations may store the required DNS resolution information globally and overwrite any previous, existing information.

IETF Multiple Interfaces (MIF) working group concentrates on this field of issues. MIF Problem Statement [13] lists five areas of problems: configuration, DNS resolution, routing, address selection and connection management. With the operator-controlled home WLAN access, PBRM information can be utilized to solve the routing problem (at least partly), but the rest of the problems remain for the UE to take care of. MIF working group aims to have standardized practices for the above problems, but so far the work has mainly concentrated on identifying the issues. In practice, it is up to the (proprietary) UE implementation to take care of handling of multiple interface issues.

## 5.4 Mobility

With operator-controlled home WLAN access, it is possible to get the connectivity via either the private or operator SSID. When the UE connects through the operator SSID, EPC-based authentication and IP address allocation mechanisms are used. If the UE completes the IP address allocation either with PMIP or DSMIPv6 protocol – as described above in 5.2 – and uses the home address (HoA) to access services (i.e. the traffic is routed via EPC, WLAN

offload scenario 2), the mobility support is provided by the EPC based on standardized mobile IP mechanisms. Of course, also the UE is required to have support for the IP mobility, as discussed in chapter 3.1.2. For example, when the UE has received the HoA via the operator-controlled home WLAN access and routes traffic via EPC, the UE may initiate the handover towards e.g. 3GPP radio access or another WLAN when the connection quality to the operator SSID degrades too much. After setting up the new radio access, UE updates the new IP address to the HA by sending Binding Update message when DSMIPv6 is used; in the case of PMIP the HoA of UE does not change, i.e. the network takes care of IP address modifications. In principle, mobile IP based mobility can support any kind of traffic; it is up to the implementations if the handover procedure is fast enough also for real-time traffic.

With the operator SSID, it is also possible to only use the local IP address to send data from the UE. In this WLAN offload scenario 3, the traffic is routed directly to the Internet, i.e. EPC is not used. That also means that mobile IP mechanisms EPC provides are not utilized. Thus, there is no mobility support from the network for traffic routed directly to the Internet. If the UE moves out of operator SSID coverage, the connection is lost and new setup of IP connectivity is required. In practice, this results in allocation of a new, different IP address. Normally in this case, some actions are required from the user to trigger the establishment of a new connection.

When the UE accesses the private SSID of the operator-controlled home WLAN access, the situation is similar to the above described scenario where only local IP address and WLAN offload scenario 3 is utilized: there is no mobility support from the network.

Even if there is no mobility support from the network (e.g. in WLAN offload scenario 3), UEs may implement local mobility mechanisms that work regardless of the network support. For example, some Nokia devices have Application Level Roaming (ALR) that enables the application continue working seamlessly even when the used IP address changes. Thus, depending on the UE implementation, mobility may be possible in all WLAN offload scenarios also with the operator-controlled home WLAN access.

## 5.5 Summary

With the operator-controlled home WLAN access, routing requires some functional enhancements on the WLAN AP compared to standard WLAN equipment. The requirements on the two distinct data paths – the operator and private sides – are a bit different: for the operator side, all traffic is directly routed to/from the operator SSID from/to ADSL line whereas on the private side, traffic can be routed between private SSID, Ethernet and ADSL line. In general, to implement the added routing functionality for the operator side is not too complex and should be feasible.

Also UE has an important role in operator-controlled home WLAN access concept. It is the responsibility of the UE to make sure that each outgoing packet is routed via the correct access using appropriate tunnels (i.e. IPSec, DSMIPv6), when needed. The UE routing decisions affect also the mobility: if traffic is not routed via EPC, no mobility support is provided from the network. In case traffic is routed via EPC, also the standard mobile IP based mobility support is available.

Since the UE is in practice in charge of routing decisions for the operator-controlled home WLAN access, the operator has to have means to influence on the routing decisions: PBRM policies can be used for that.

## 6 Alternative Solution for Influencing UE's Offload Decisions

Realizing WLAN offload with PBRM is not the only solution. For example, it is possible to rely on existing mechanisms but to apply them in a modified way. One such a possibility is to use Router Advertisement (RA) messages sent by IPv6 routers. RFC 4191 [11] adds some more parameters to the standard Router Advertisement messages: for example, Default Router Preference is added into the RA message header. The field has three values (low, medium, high) and can be used in the host (i.e. UE) to prioritize default routes received from different routers.

The idea behind using the RAs for influencing UE's offload decisions is that the routers from different access networks would send different priority values for their default routes. For example, if the router from WLAN network sends RA with Default Router Preference 'high' and RA received via LTE indicated "low" preference, the UE could interpret this information so that WLAN access is preferable over LTE.

Good thing about this scheme is that it uses already existing mechanisms. Also, sending RA is a lightweight procedure (e.g. compared to setting up an OMA DM connection for ANDSF session, refer to [5]), and it can be used to quickly convey information about the network selection preferences, if e.g. the information is changed. Periodic RAs are sent to multicast address, so all the UEs within that network will receive the same RA and associated priorities. When the router is answering for Router Solicitation sent from an UE, router may also use unicast address, i.e. it is possible to direct the RA only to a single UE.

With only three values for preference, the mechanism based on RAs is somewhat limited compared e.g. to PBRM. Also, there is no way to indicate what traffic should be offloaded in RA messages. Since the used routing preference values have to be agreed above router level – i.e. an operator sets the preference values – the mechanism works well only when the routers sending preference values are from the same operator. For example, this mechanism could only be used with operator managed WLAN network deployment scenario (refer to 3.2), unless the preference values are agreed among operators.

## 7 Conclusions

Offloading traffic to WLAN networks is receiving more and more interest from operators. It can be seen as an inexpensive but efficient mechanism to expand the capacity of cellular operator networks. When WLAN offload is used, both signaling and user data traffic can be reduced in cellular networks: it is possible to move to WLAN both the applications sending lots of status updates and applications creating a lot of user data. Thus, WLAN offload can be considered contributing for solving both the signaling traffic and user data increase problems.

In order to make WLAN offload useful for the operators, a mechanism to influence on the UE's offload decisions is needed. PBRM concept is a good tool for that. Also, PBRM provides effective means for the operators to react on changing traffic conditions in the network. Together with the operator-controlled home WLAN access, PBRM concept can be effectively used to enable WLAN offloading also with home networks.

With the operator-controlled home WLAN access, routing requires some functional enhancements on the WLAN AP to support the two distinct data paths. In general, these enhancements are feasible to implement into home WLAN equipment.

For WLAN offload – as well as for the operator-controlled home WLAN access – the role of the UE is important: it is the responsibility of the UE to make sure that each outgoing packet is routed via the correct access using appropriate tunnels (i.e. IPSec, DSMIPv6), when needed. The UE routing decisions affect also the mobility: if traffic is not routed via EPC, no mobility support is provided from the network.

In general, WLAN offloading together with PBRM can be a valuable tool for the operators in tackling the traffic growth in cellular networks.

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